

2021 Wastewater and Drinking Water Treatment Financial Assistance Program (WTFAP) Application

The Wastewater and Drinking Water Treatment Financial Assistance Program (WTFAP) was created to provide grants for water, wastewater and stormwater infrastructure projects and funded with a portion of the taxes paid on metered water. Priorities for the funds are:

- Disadvantaged Community seeking financial assistance for the installation or upgrade of wastewater or drinking water treatment facilities
 - The definition of a disadvantaged community is the same used by DNR for SRF projects. For drinking water projects, the definition is the same as that used by CDBG (51% of the population served have income that are considered low to moderate). For wastewater projects, it is the criteria found in 455B.199B
- Projects whose completion will provide significant improvement to water quality in the watershed
- Community employing alternative wastewater treatment technology pursuant to Iowa Code 455B.199C
- Community where sewer or water rates are more than 2% of the community's median household income
 - MHI information can be found at:
<https://www.iowadatacenter.org/data/acs/econ/hhincome/median-income>
 - Click City (Iowa only) then pick your city under Geographic Name
- Community employing technology to address the goals of the Iowa Nutrient Reduction Strategy
- Community whose drinking water supply is a source water on the impaired waters list
- Community whose project will improve waters on the impaired waters list

Grants are awarded annually. For 2021, there is approximately \$6 million to award. Awards are determined by a committee consisting of representatives from the Iowa Finance Authority (IFA), the Department of Natural Resources (DNR) and the Iowa Department of Agriculture and Land Stewardship (IDALS).

Because grants are awarded annually and there are limited funds, for projects that are not anticipating starting construction before October 2022 – please wait and apply next year.

For projects that are in the planning and design phase, consider applying for planning assistance through:

- USDA Planning Grants – contact your local USDA office
- SRF Planning and Design Loans – contact WaterQuality@IowaFinance.com

Applications are due Friday, October 1, 2021. Awards will be announced in December.

Contact Aaron Smith at (515) 452-0461 or WaterQuality@IowaFinance.com with any questions.

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Applicant: _____

Contact person/Title: _____

Address: _____

City _____ County and Zip Code: _____

Telephone Number: _____ E-mail address: _____

All projects complete the following information:

What is the status of your project?

	Yes	No	N/A	Date Done	Date Expected
Engineer Hired					
PER or Plan Developed					
Construction Permit Issued					
Construction Bids Awarded					
Construction Started					

Project Cost Breakdown

Administrative, Financial & Legal expenses	
Land and easements	
Planning & Design expenses	
Engineering construction fees	
Construction	
Equipment	
Miscellaneous	
Contingency	
Other- Specify	
Total Project Cost	

Anticipated Sources of Funds

	Yes	No	Date of Application	Dollars Contributed
CDBG				
USDA – Grant				
USDA – Loan				
SRF Loan				
Local Funds				
Other				
WTFAP				
Total Source of Funds				

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Please write a brief description of the project:

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Current System Utilization

Water			
Wastewater			
Population Served By System			
	Number of Connections	Annual Revenue	% of System Usage
Residential			
Commercial			
Industrial			
Other			
Unmetered			
Total Revenue			

EXPENDITURES for FY 2021:

Operation and Maintenance	
Repairs	
Capital Improvement Fund	
Other- <i>Explain</i>	
Total Expenditures	

	Amount Restricted	Amount Unrestricted
Fund Balance		

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Existing System Debt	Current Balance	Interest Rate	Year Issued	Maturity Date	Annual Payment (Principal + Interest)
Revenue Bonds					
Other Debt Payable from System Revenues					
Total Annual Payments					

	Yes	No
• Have ordinances related to increasing rates been adopted for financing this project?		
• Will you need to increase rates to pay for this project?		

- | |
|--|
| • Include one copy of user charge ordinance |
| • If you applied for CDBG, include LMI documentation |
| • If you applied for disadvantaged criteria from DNR, please submit the determination letter |

PROFESSIONAL CONSULTANTS

Project Engineer:

Name of Contact Person: _____

Telephone Number: _____

E-mail address: _____

Please include information on other consultants working on the project

Company Name and Contact	
Phone Number	
Email Address	
Role	

Company Name and Contact	
Phone Number	
Email Address	
Role	

Company Name and Contact	
Phone Number	
Email Address	
Role	

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If you are applying under the following priority areas:

- **Projects whose completion will provide significant improvement to water quality in the watershed**
- **Community whose drinking water supply is a source water on the impaired waters list**
- **Community whose project will improve waters on the impaired waters list**

Submit the following information:

Describe the watershed or waters the project will improve:

Describe the current impairments in the watershed or source water, if applicable:

Describe how the project will abate a water pollution problem or improve water quality:

Discuss project location, land ownership and plans for acquiring properties or easements.

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If you are applying under the Community employing alternative wastewater treatment technology pursuant to Iowa Code 455B.199C priority area, submit the following information:

Please write a brief description of the project:

Explain the alternative wastewater treatment technology being used:

Attach documentation that the alternative wastewater technology has been approved by DNR pursuant to Iowa Code 455B199C

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If you are applying under the **Community employing technology to address the goals of the Iowa Nutrient Reduction Strategy** priority area, submit the following information:

Please write a brief description of the project:

Explain the technology being used, how it reduces nutrients, and helps address the goals of the Iowa Nutrient Reduction Strategy:

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The undersigned is duly authorized to apply for this grant on behalf of the Applicant. The Applicant declares under penalty of law that all facts given, and information attached are true and correct. The Applicant authorizes IFA to verify all information.

Authorized Signature		Date	
Name of Representative		Title	

Signed Applications may be emailed or mailed.
All applications are due Friday, October 1, 2021

Applications should be sent to:

Aaron Smith
Chief Bond Programs Director
Iowa Finance Authority
1963 Bell Avenue, Suite 200
Des Moines, IA 50315
515.452.0461 (direct)
Aaron.Smith@IowaFinance.com

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MINORITY IMPACT STATEMENT

All applications for a grant from a state agency must include a Minority Impact Statement. This is to require grant applicants to consider the potential impact of the proposed project on minority groups.

Please choose the statement(s) that pertains to the grant application. Complete all the information requested for the chosen statement(s).

The proposed grant projects programs or policies could have a disproportionate or unique **positive** impact on minority persons. Describe the positive impact expected from this project:

Indicate which group is impacted:

- | | | |
|----------------------------------|--|---|
| <input type="checkbox"/> Women | <input type="checkbox"/> Persons with a Disability | <input type="checkbox"/> Asians |
| <input type="checkbox"/> Blacks | <input type="checkbox"/> American Indians | <input type="checkbox"/> Alaskan Native Americans |
| <input type="checkbox"/> Latinos | <input type="checkbox"/> Pacific Islanders | <input type="checkbox"/> Other |

The proposed grant project programs or policies could have a disproportionate or unique **negative** impact on minority persons. Describe the negative impact expected from this project:

Present the rationale for the existence of the proposed program or policy:

Provide evidence of consultation with representatives of the minority groups impacted:

Indicate which group is impacted:

- | | | |
|----------------------------------|--|---|
| <input type="checkbox"/> Women | <input type="checkbox"/> Persons with a Disability | <input type="checkbox"/> Asians |
| <input type="checkbox"/> Blacks | <input type="checkbox"/> American Indians | <input type="checkbox"/> Alaskan Native Americans |
| <input type="checkbox"/> Latinos | <input type="checkbox"/> Pacific Islanders | <input type="checkbox"/> Other |

The proposed grant project programs or policies are **not expected** to have a disproportionate or unique impact on minority persons. Present the rationale for determining no impact:

I hereby certify that the information on this form is complete and accurate, to the best of my knowledge:

Typed Name	Signature