

Wastewater and Drinking Water Treatment Financial Assistance Program (WTFAP)

2023 Application for Funding

PROGRAM OVERVIEW

With funding provided by portion of the taxes paid on metered water, the Wastewater and Drinking Water Treatment Financial Assistance Program (WTFAP) provides grants for wastewater and drinking water infrastructure projects. Awards are determined annually by a committee consisting of representatives from the Iowa Finance Authority (IFA), the Iowa Department of Natural Resources (DNR) and the Iowa Department of Agriculture and Land Stewardship (IDALS).

Priority consideration for funding is given to:

- **Disadvantaged Communities seeking financial assistance for the installation or upgrade of wastewater or drinking water treatment facilities**
 - For drinking water projects, a Disadvantaged Community defined in the same manner as that used by the Community Development Block Grant (CDBG) program (51% or more of the population served by the project have income that is considered low to moderate).
 - For wastewater projects, the Disadvantaged Community criteria can be found in Iowa Code [455B.199B](#).
- **Projects whose completion will provide significant improvement to water quality in the watershed**
- **Communities employing alternative wastewater treatment technology pursuant to Iowa Code [455B.199C](#)**
- **Communities where sewer or water rates are more than 2% of the community's median household income**
- **Communities employing technology to address the goals of the Iowa Nutrient Reduction Strategy**
- **Communities whose drinking water supply is a source water on the impaired waters list**
- **Communities whose project will improve waters on the impaired waters list**

More information can be found at iowafinance.com.

FUNDING AVAILABILITY AND APPLICATION PROCESS

We are prepared to award approximately \$6 million available to eligible projects for the 2023 funding round. The maximum grant award is limited to \$500,000.

Applications are due Friday, November 17, 2023. Awards will be announced in January 2024.

Applications may be submitted via email or mail:

waterquality@iowafinance.com

or

Iowa Finance Authority
Attn: Aaron Smith
1963 Bell Avenue, Suite 200
Des Moines, IA 50315

Because grants are awarded annually and funds are limited, 1) awards may be prioritized to projects that have not received previous funding or have not received full funding in a previous year, and 2) projects that do not expect to start construction before October 2024 should consider waiting until next year to apply. Projects that are in the planning and design phase should consider applying for financial assistance through:

- *USDA Planning Grants – contact your local USDA office*
- *SRF Planning and Design Loans – contact waterquality@iowafinance.com*

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APPLICANT INFORMATION

Applicant Name: _____
Contact Person/Title: _____
Street Address: _____ City: _____
County: _____ Zip Code: _____
Phone Number: _____ Email Address: _____

PROJECT INFORMATION

Please complete the following information about the Project.

Project Priority Category *(select all that apply)*

- 1. Disadvantaged Community installing or upgrading wastewater or drinking water treatment facilities
- 2. Project provides significant improvement to water quality in the watershed upon completion
- 3. Project employs alternative wastewater treatment technology pursuant to Iowa Code [455B.199C](#)
- 4. Sewer or water utility rates are more than 2% of the community's median household income
- 5. Project employs technology to address the goals of the Iowa Nutrient Reduction Strategy
- 6. Drinking water supply is a source water on the impaired waters list
- 7. Project improves water(s) on the impaired waters list
- 8. None of the above

Project Description

"Coffee Shop" Description: Please briefly describe the project in a way that you would to a friend over coffee (2-5 sentences; simple, easily understood terminology).

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Project Status

	Yes	No	N/A	Date Completed or Expected
Engineer Hired				
PER or Plan Developed (<i>if YES, please submit</i>)				
Construction Permit Issued				
Construction Bids Awarded				
Construction Started				

PROJECT COST BREAKDOWN

Please identify the Project's anticipated costs.

Administrative, Financial & Legal expenses	\$
Land and easements	\$
Planning & Design expenses	\$
Engineering construction fees	\$
Construction	\$
Equipment	\$
Miscellaneous	\$
Contingency	\$
Other – Specify:	\$
Total Project Cost:	\$

ANTICIPATED SOURCES OF FUNDS

Please identify the Project's anticipated sources of funds.

	Yes	No	Date of Application	Dollars Contributed
2023 WTFAP Request				\$
CDBG				\$
USDA – Grant				\$
USDA – Loan				\$
SRF Loan				\$
Local Funds				\$
Other – Specify:				\$
Total Sources of Funds:				\$

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SYSTEM INFORMATION

Please provide operating and financial information about the Utility System.

Select System:

Wastewater

Drinking Water

Annual Usage (Gallons/year):

Population Served by System:

Median Household Income:

Click [here](#) to look up Median Household Income.

Connections and Annual Revenue by Type

	Number of Connections	Annual Revenue	Percent of System Usage
Residential		\$	
Commercial		\$	
Industrial		\$	
Other		\$	
Unmetered		\$	
Total Revenue:		\$	

User Rates

Average Expected Monthly Bill for **Residential** Ratepayers

Not Including Requested 2023 WTFAP Funds

Including Requested 2023 WTFAP Funds

Yes	No

Have ordinances related to increasing rates been adopted for financing this project?

Required Supporting Documentation:

- A copy of the most recent user charge ordinance must be submitted with this application.
- If you applied for CDBG, submit LMI documentation.
- If you applied for disadvantaged status, submit the determination letter provided by DNR.

Application continues on next page.

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Financial Information

Expenditures for FY 2023

Operation and Maintenance	\$
Repairs	\$
Capital Improvement Fund	\$
Other – <i>Specify:</i>	\$
Total Expenditures:	\$

Fund Balances

Total Fund Balance	Amount Restricted	Amount Unrestricted
\$	\$	\$

Existing System Debt

Revenue Bonds (<i>list below</i>)	Current Balance	Interest Rate	Year Issued	Maturity Year	Annual Payment (P & I)
Other Debt Payable from System Revenues					
Totals:					

PROFESSIONAL CONSULTANTS

Please provide information about the professional consultants assigned to the Project.

Project Engineer

Firm Name: _____

Contact Person: _____

Phone Number: _____ Email Address: _____

Municipal Advisor/Financial Consultant (if applicable)

Firm Name: _____

Contact Person: _____

Phone Number: _____ Email Address: _____

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Complete this section if you are applying for assistance under any of the following priority areas:

- **Projects whose completion will provide significant improvement to water quality in the watershed**
- **Community whose drinking water supply is a source water on the impaired waters list**
- **Community whose project will improve waters on the impaired waters list**

Identify the watershed and/or waterbody the project will improve:

Identify the current impaired use/s and cause/s of the impairment/s to the waterbody the project improves:

Briefly describe how the project will improve water quality in the watershed or address the specific impairment/s to the waterbody:

Discuss project location, land ownership and plans for acquiring properties or easements, if applicable.

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Complete this section if you are applying for assistance under the following priority area:

- Community employing alternative wastewater treatment technology pursuant to Iowa Code 455B.199C

Please note that in addition to Iowa Code 455B.199C, “alternative technology” could also mean a commonly used technology that has been proven effective and reliable for its intended purpose but is not included in the [Iowa Wastewater Facilities Design Standards](#).

Explain the alternative wastewater treatment technology being used:

Please submit documentation with this application demonstrating that the alternative wastewater technology has been approved by DNR pursuant to Iowa Code 455B199C.

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Complete this section if you are applying for assistance under the following priority area:

- **Community employing technology to address the goals of the Iowa Nutrient Reduction Strategy**

Explain the technology being used and how it reduces nutrients to help address the goals of the Iowa Nutrient Reduction Strategy:

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2023 Application for Funding – Minority Impact Statement

Pursuant to [Chapter 8](#) of the Iowa Code, all grant applications submitted to the State of Iowa which are due beginning January 1, 2009 shall include a Minority Impact Statement. This is the State’s mechanism to require grant applicants to consider the potential impact of the grant project’s proposed programs or policies on minority groups.

Please choose a statement below that pertains to this grant application. Complete all the information requested for the chosen statement.

1. The proposed project funded by this grant could have a disproportionate or unique positive impact on minority persons.

Briefly describe the positive impact expected from this project:

Indicate which group(s) are expected to be impacted:

- | | | |
|----------------------------------|--|---|
| <input type="checkbox"/> Women | <input type="checkbox"/> Persons with a Disability | <input type="checkbox"/> Asians |
| <input type="checkbox"/> Blacks | <input type="checkbox"/> American Indians | <input type="checkbox"/> Alaskan Native Americans |
| <input type="checkbox"/> Latinos | <input type="checkbox"/> Pacific Islanders | <input type="checkbox"/> Other |

2. The proposed project funded by this grant could have a disproportionate or unique negative impact on minority persons.

Briefly describe the negative impact expected from this project:

Indicate which group(s) are expected to be impacted:

- | | | |
|----------------------------------|--|---|
| <input type="checkbox"/> Women | <input type="checkbox"/> Persons with a Disability | <input type="checkbox"/> Asians |
| <input type="checkbox"/> Blacks | <input type="checkbox"/> American Indians | <input type="checkbox"/> Alaskan Native Americans |
| <input type="checkbox"/> Latinos | <input type="checkbox"/> Pacific Islanders | <input type="checkbox"/> Other |

Present the rationale for the existence of the proposed project:

Provide evidence of consultation with representatives of the minority groups impacted:

3. The proposed project funded by this grant is not expected to have a disproportionate or unique impact on minority persons. Briefly present the rationale for determining no impact:

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Attestation of Truthfulness

The undersigned is duly authorized to apply for this grant on behalf of the Applicant. The Applicant declares under penalty of law that all facts given, and information attached are true and correct. The Applicant authorizes Iowa Finance Authority to verify all information.

Authorized Signature

Printed Name

Title

Date

All applications are due Friday, November 17, 2023

Signed Applications may be emailed or mailed.

waterquality@iowafinance.com

or

Iowa Finance Authority
Attn: Water Quality
1963 Bell Avenue, Suite 200
Des Moines, IA 50315